

## On a type of universal forms in discretely valued fields.

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In the papers [9] and [10] I dealt with the representation of the elements of a finite field  $GF(p^f)$  by the forms

$$a_1 x_1^k + a_2 x_2^k + \dots + a_s x_s^k, \quad a_i \in GF(p^f).$$

I proved especially the following

**Theorem 1.** *Let  $GF(p^f)$  be a finite field of characteristic  $p$ . Let be  $\delta = (p^f - 1, k) \leq p - 1$ . Suppose that  $a_1, a_2, \dots, a_s$  are elements  $\in GF(p^f)$  with  $a_1 a_2 \dots a_s \neq 0$ . Then the equation*

$$a_1 x_1^k + a_2 x_2^k + \dots + a_s x_s^k = b$$

*has a solution with  $x_1, x_2, \dots, x_s \in GF(p^f)$  for every  $b \in GF(p^f)$ .*

This theorem will be proved again as a special case of the more general Theorem 3 below.

CHEVALLEY [2] proved: If  $f(x_1, x_2, \dots, x_n)$  is any polynomial in  $n$  variables with coefficients  $\in GF(p^f)$  in which the constant term is zero and if the degree of  $f(x_1, x_2, \dots, x_n)$  is less than  $n$  then

$$f(x_1, x_2, \dots, x_n) = 0$$

is soluble in  $GF(p^f)$  with not all the unknowns equal to zero.

It follows from this theorem that

$$a_1 x_1^k + a_2 x_2^k + \dots + a_k x_k^k - b x_{k+1}^k = 0, \quad a_i, b \in GF(p^f)$$

is always (i. e. for every  $k \geq 1$ ) soluble with not all  $x_i$  equal to zero. But this fact does not imply that every  $b \in GF(p^f)$  is representable in the form

$$b = a_1 x_1^k + a_2 x_2^k + \dots + a_k x_k^k.$$

Let, for instance,  $GF(7^3)$  be a field with 49 elements. We can represent all elements  $\xi \in GF(7^3)$  in the form  $\xi = a_0 + a_1 j$  ( $a_0, a_1 = 0, 1, \dots, 6$ ), where  $j$  satisfies the irreducible equation  $j^3 + 1 = 0 \pmod{7}$ . Then the equation

$$x_1^8 + x_2^8 + \dots + x_8^8 - j x_9^8 = 0$$

is soluble in  $GF(7^2)$  (f. i. with  $x_1 = \dots = x_7 = 1$ ,  $x_8 = x_9 = 0$ ). But it is easy to show (see [9], p. 124) that

$$x_1^3 + x_2^3 + \dots + x_8^3 - j = 0$$

is not soluble with  $x_1, x_2, \dots, x_8 \in GF(7^2)$ . Hence the theorem of CHEVALLEY is not sharp enough to prove theorems like Theorem 1.

An other direction in which investigations have been made is the following. Let  $f(x_1, \dots, x_n)$  be a homogeneous polynomial in  $n$  variables of degree  $r$  over a  $p$ -adic number field  $K$  with integral coefficients. Then CHEVALLEY's theorem asserts that

$$f(x_1, x_2, \dots, x_n) \equiv 0 \pmod{p}$$

has always a non-zero integral solution if  $n > r$ . But this does not imply that  $f(x_1, x_2, \dots, x_n) \equiv 0 \pmod{p^i}$  is soluble for every  $i \geq 1$ .  $f(x_1, \dots, x_n) = 0$  need not be soluble in  $K$ .

It is known (see [1]) that to every  $r$  there exists a number  $\Phi(r)$  such that if  $n \geq \Phi(r)$   $f(x_1, \dots, x_n) = 0$  is soluble in  $K$ . HASSE [4] proved that for the quadratic case  $n \geq 5$  is sufficient. (See also JONES [5].) Recently DEMYANOV [3] and LEWIS [7] proved that, for every cubic homogeneous polynomial with  $n \geq 10$ ,  $f(x_1, \dots, x_n) = 0$  has in  $K$  a non-trivial solution.

For the inhomogeneous case — as far as I know — complete results are known only for quadratic forms. It holds f. i. (see JONES [5], p. 46): Let  $R_p$  be the rational  $p$ -adic field. If  $f(x_1, \dots, x_n)$  is an  $n$ -ary quadratic form with coefficients in  $R_p$ , with a non-zero determinant and  $n \geq 4$ , then  $f = b$  is solvable in  $R_p$  for any number  $b$  in  $R_p$ .

In this paper we shall study inhomogeneous polynomials of the form

$$a_1 x_1^k + a_2 x_2^k + \dots + a_s x_s^k - b$$

over a field  $K$  complete under a discrete non-archimedean valuation  $a_1, a_2, \dots, a_s$ , being integral elements  $\in K$ .

The situation is in some sense analogous to the homogeneous case mentioned above. Let, for instance,  $K = R_3$  be the rational 3-adic field. The congruence  $4 \equiv x_1^3 + x_2^3 + x_3^3 \pmod{3}$  has solutions with integral  $x_i \in R_3$ . But  $4 \equiv x_1^3 + x_2^3 + x_3^3 \pmod{3^2}$  has not a solution with integral  $x_i \in R_3$ . Hence  $4 = x_1^3 + x_2^3 + x_3^3$  is not soluble with integral elements  $\in R_3$ .

We shall show that under suitable suppositions (but far not always!) the solvability of such equations can be assured by taking a sufficiently large number of summands.

There is an important remark to the example just discussed. If we admit  $x_1, x_2, x_3$  to be any elements  $\in R_3$  the equation  $4 = x_1^3 + x_2^3 + x_3^3$  has in  $R_3$  a solution. It is well known that every rational number is a sum of three

rational cubes. In our case we have f. i.  $4 = \left(\frac{12}{25}\right)^3 + \left(\frac{47}{30}\right)^3 + \left(\frac{53}{150}\right)^3$ . Of course  $\frac{47}{30}$  and  $\frac{53}{150}$  are not integral elements  $\in R_3$ . This shows clearly the distinction between the solution of equations from  $K$  in integral and non necessarily integral elements  $\in K$ . It will be good to keep it in mind since we shall be mostly concerned (in essential) with solutions in integral elements  $\in K$ .

We shall use the following notations.  $K$  denotes a field, complete under a discrete non-archimedean valuation. Let  $I$  be the ring of integers  $\in K$ ,  $\pi$  a local prime of  $K$ ,  $\mathfrak{p} = \pi I$  the prime ideal generated by  $\pi$ . We shall assume that the residue class field  $\bar{I} = I/\mathfrak{p}$  is a finite field. The residue class containing the element  $a \in I$  will be denoted by  $\bar{a}$ . If  $f(x_1, x_2, \dots, x_n)$  is a polynomial with coefficients in  $I$  the symbol  $\bar{f}(x_1, x_2, \dots, x_n)$  denotes the corresponding polynomial with coefficients in  $\bar{I}$ . (In section III the symbol  $\bar{a}$  has a somewhat different meaning.)

We shall study two cases:

A. The field  $K$  has characteristic 0. It is the derived field of an algebraic number field  $R(\mathfrak{g})$  complete under a valuation corresponding to a prime ideal  $\mathfrak{p}$  of  $J[\mathfrak{g}]^1$ , i. e.  $K = R(\mathfrak{g})_{\mathfrak{p}}$ .

B.  $K$  has characteristic  $p$ .  $K$  is the field of formal power series in  $x$  (containing only a finite number of negative powers) with coefficients in a finite field  $GF(p')$ .

In both cases every element  $a \in K$  is representable in the form of an infinite series (with the known definition of convergence)

$$(1) \quad a = \frac{1}{\pi^v} (a_0 + a_1 \pi + a_2 \pi^2 + \dots), \quad v \geq 0, \quad a_0 \neq 0, \quad a_i \in \mathfrak{R}.$$

In the case A we can choose  $\pi$  as an integer of the field  $R(\mathfrak{g})$  with the property  $\mathfrak{p} \parallel \pi$ , i. e.  $\pi \equiv 0 \pmod{\mathfrak{p}}$  but  $\pi \not\equiv 0 \pmod{\mathfrak{p}^2}$ ;  $\mathfrak{R}$  denotes an arbitrary fixed complete system of representants of the residue classes mod  $\mathfrak{p}$  containing the number 0.

In the case B we can choose  $\pi$  to be equal to the indeterminate  $x$  and  $\mathfrak{R}$  is identified with the field  $GF(p')$ .

If in the case A  $N(\mathfrak{p}) = p'$ , then the residue classes  $I/\mathfrak{p}$  (containing the representantes  $a_i \in \mathfrak{R}$ ) form in both cases a field isomorphic to  $GF(p')$ .

<sup>1)</sup>  $R(\mathfrak{g})$  denotes a simple algebraic extension of the field of rational numbers  $R$ .  $J[\mathfrak{g}]$  is the ring of algebraic integers  $\in R(\mathfrak{g})$ .

## 1.

The main result of this section, in which we restrict the considerations to the case  $(k, p) = 1$ , is based on the Theorem 1. The proof holds in exactly the same way for both cases  $A, B$ .

**Theorem 2.** *Let  $K$  be a discretely valued complete field of the type  $A$  or  $B$ .*

a) *Let  $k > 1$  be an integer<sup>2)</sup>,  $(k, p) = 1$ .*

b) *Let be  $1 \leq (k, p^f - 1) = \delta \leq p - 1$ .*

c) *Let  $a_1, a_2, \dots, a_{\delta+1}$  be  $\delta + 1$  integral elements  $\in K$ ,  $a_1 \cdot a_2 \cdot \dots \cdot a_{\delta+1} \not\equiv 0 \pmod{p}$ .*

*Then every  $a \in K$  can be written in the form*

$$(2) \quad a_1 \xi_1^k + a_2 \xi_2^k + \dots + a_{\delta+1} \xi_{\delta+1}^k,$$

*i. e. the equation*

$$a_1 x_1^k + a_2 x_2^k + \dots + a_{\delta+1} x_{\delta+1}^k = a$$

*has for every  $a \in K$  a solution  $\xi_1, \xi_2, \dots, \xi_{\delta+1} \in K$ .*

**Proof.** 1. Let  $a$  be of the form (1). If  $\nu > 0$  we can arrange it (by multiplication) that the number  $\nu$  in the denominator is a multiple of  $k$  and has the form

$$a = \frac{1}{\pi^{lk}} (b_0 + b_1 \pi + \dots + b_{k-1} \pi^{k-1} + b_k \pi^k + \dots)$$

( $l$  an integer). It is further possible to choose  $l$  so that at least one of the elements  $b_0, b_1, \dots, b_{k-1}$  is different from zero.<sup>3)</sup> Our theorem will be proved if we show that the element

$$b = b_0 + b_1 \pi + b_2 \pi^2 + \dots, \quad b_i \in \mathfrak{R}$$

can be written in the form (2).

2. In what follows we denote

$$(3) \quad f(\mathbf{x}) = a_1 x_1^k + a_2 x_2^k + \dots + a_{\delta} x_{\delta}^k - b.$$

Let  $V_{\delta}$  be the  $\delta$ -dimensional vector space over  $K$ . A vector  $\mathbf{v} = [\xi_1, \xi_2, \dots, \xi_{\delta}]$ ,  $\xi_i \in K$  will be called *primitive* if

a)  $\xi_i \in I$  for every  $i$  ( $1 \leq i \leq \delta$ ),

<sup>2)</sup> The case  $k = 1$  is trivial.

<sup>3)</sup> To this end it is sufficient to find  $l$  with the property  $0 \leq lk - \nu < k$  and to write  $a = \frac{1}{\pi^{lk}} (a_0 \pi^{lk-\nu} + a_1 \pi^{lk-\nu+1} + \dots)$ .

b) there exists at least one  $i$  with  $\xi_i \not\equiv 0 \pmod{p}$ .

If the coordinates of the vector  $\mathbf{v}$  satisfy the equation  $f(\mathbf{x})=0$  we write  $f(\mathbf{v})=0$ .

3. Suppose first that  $b \not\equiv 0 \pmod{p}$ . According to Theorem 1  $\bar{f}(\mathbf{x})=\bar{0}$  has a solution with  $\bar{x}_1, \dots, \bar{x}_n \in \bar{I}$ , i. e. there exists a vector  $\mathbf{v}_1 = [\xi_{11}, \xi_{21}, \dots, \xi_{\delta 1}]$  such that  $f(\mathbf{v}_1) \equiv 0 \pmod{p}$ . This vector is primitive since otherwise it would be  $b \equiv 0 \pmod{p}$  contrary to the supposition.

Let be  $f(\mathbf{v}_1) = f(\xi_{11}, \dots, \xi_{\delta 1}) = c \cdot \pi^m$ ,  $m \geq 1$ , where  $\pi^m \parallel f(\mathbf{v}_1)$  and  $c \in I$ . Hence  $f(\mathbf{v}_1) \equiv 0 \pmod{p^m}$ .

Find a vector  $[\eta_1, \eta_2, \dots, \eta_\delta]$  satisfying the relation

$$(4) \quad c + \sum_{i=1}^{\delta} k a_i \xi_{i1}^{k-1} \eta_i \equiv 0 \pmod{p}.$$

Since  $c \not\equiv 0 \pmod{p}$  and for at least one  $i$   $k a_i \xi_{i1}^{k-1} \not\equiv 0 \pmod{p}$  such a vector exists. Put  $\xi_{i2} = \xi_{i1} + \eta_i \pi^m$  and consider the primitive vector  $\mathbf{v}_2 = [\xi_{12}, \xi_{22}, \dots, \xi_{\delta 2}]$ . It holds

$$\begin{aligned} f(\mathbf{v}_2) &= \sum_{i=1}^{\delta} a_i (\xi_{i1} + \eta_i \pi^m)^k - b = \\ &= f(\mathbf{v}_1) + \sum_{i=1}^{\delta} a_i k \xi_{i1}^{k-1} \eta_i \pi^m + \sum_{i=1}^{\delta} \binom{k}{2} \xi_{i1}^{k-2} \eta_i^2 \pi^{2m} + \dots = \\ &= \pi^m \left[ c + \sum_{i=1}^{\delta} k a_i \xi_{i1}^{k-1} \eta_i \right] + \pi^{2m} \sum_{i=1}^{\delta} \binom{k}{2} a_i \xi_{i1}^{k-2} \eta_i^2 + \dots \end{aligned}$$

For  $l \geq 2$  we have  $lm \geq m+1$ ; with respect to (4) we get

$$f(\mathbf{v}_2) \equiv 0 \pmod{p^{m+1}}.$$

This rule can be repeated. Let be  $f(\mathbf{v}_2) = c' \cdot \pi^{m'}$ ,  $m' \geq m+1$ ,  $\pi^{m'} \parallel f(\mathbf{v}_2)$  and  $c' \in I$ . Hence  $f(\mathbf{v}_2) \equiv 0 \pmod{p^{m'}}$ . Find a vector  $[\zeta_1, \zeta_2, \dots, \zeta_\delta]$  satisfying

$$c' + \sum_{i=1}^{\delta} k a_i \xi_{i2}^{k-1} \zeta_i \equiv 0 \pmod{p}.$$

Such a vector exists. Let us put  $\xi_{i3} = \xi_{i2} + \zeta_i \pi^{m'}$ . Then the primitive vector  $\mathbf{v}_3 = [\xi_{13}, \xi_{23}, \dots, \xi_{\delta 3}]$  satisfies the relation

$$\begin{aligned} f(\mathbf{v}_3) &= \sum_{i=1}^{\delta} a_i (\xi_{i2} + \zeta_i \pi^{m'})^k - b = \pi^{m'} \left[ c' + \sum_{i=1}^{\delta} k a_i \xi_{i2}^{k-1} \zeta_i \right] + \\ &+ \pi^{2m'} \sum_{i=1}^{\delta} \binom{k}{2} a_i \xi_{i2}^{k-2} \zeta_i^2 + \dots, \end{aligned}$$

i. e.

$$f(\mathbf{v}_3) \equiv 0 \pmod{p^{m'+1}}.$$

By this rule a sequence of primitive vectors  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  can be found such that  $f(\mathbf{v}_i) \equiv 0 \pmod{p^{m+i}}$ .

Since the ring  $I$  and the set of integral elements of  $K$  which are  $\not\equiv 0 \pmod{p}$  are both compact there exists a subsequence of the sequence  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  which converges to a primitive vector  $\mathbf{v} = [\xi_1, \xi_2, \dots, \xi_\delta]$ . (By the convergence of a sequence of vectors we mean here the componentwise convergence.) Since  $f(\mathbf{x})$  is a continuous function we get  $f(\mathbf{v}) = 0$ . Our theorem in the case  $b \not\equiv 0 \pmod{p}$  is proved even with the sharper result that we can choose  $x_{\delta+1} = 0$ .

4. Let be  $b \equiv 0 \pmod{p}$ . The rule just applied cannot be used since Theorem 1 does not assure the existence of a primitive vector  $\mathbf{v}_1$  satisfying  $f(\mathbf{v}_1) \equiv 0 \pmod{p}$ .

Consider therefore the element  $d = b' - a_{\delta+1}$ . Since  $d \not\equiv 0 \pmod{p}$  there exists a primitive vector  $\mathbf{v} = [\xi_1, \dots, \xi_\delta]$  such that

$$b - a_{\delta+1} = a_1 \xi_1^k + \dots + a_\delta \xi_\delta^k.$$

Hence it is

$$b = a_1 \xi_1^k + \dots + a_\delta \xi_\delta^k + a_{\delta+1} \cdot 1^k.$$

Theorem 2 is completely proved.

It follows from the proof of Theorem 2 and the remark under 4 above the validity of the following

**Theorem 2a.** *Let the suppositions of Theorem 2 be satisfied with the modification that we have only  $\delta$  integral elements  $a_1, a_2, \dots, a_\delta \in K$ , where  $a_1 \cdot a_2 \cdot \dots \cdot a_\delta \not\equiv 0 \pmod{p}$ . Then, if the congruence*

$$a_1 x_1^k + \dots + a_\delta x_\delta^k \equiv 0 \pmod{p}$$

*has at least one non-zero solution, then every element  $b \in K$  can be written in the form*

$$b = a_1 \xi_1^k + \dots + a_\delta \xi_\delta^k, \quad \xi_i \in K.$$

**Remark 1.** The result of Theorem 2 is sharp in the sense that, in general, the number  $\delta + 1$  cannot be replaced by a less one. We show this on an example in the rational  $p$ -adic field  $R_p$ .

Choose  $p = 7$ ,  $k = 2$  (hence  $\delta = 2$ ) and  $a_1 = a_2 = a_3 = 1$ . According to Theorem 2 every  $a \in R_7$  can be written in the form

$$a = \xi_1^2 + \xi_2^2 + \xi_3^2, \quad \xi_i \in R_7.$$

But it is not true that  $a = 7$  can be written in the form

$$(5) \quad 7 = \xi_1^2 + \xi_2^2.$$

We show first that if (5) holds then  $\xi_1, \xi_2$  are necessarily integral elements  $\in R_7$ . Let be

$$\xi_1 = \frac{1}{7^\mu} (\xi_{10} + \xi_{11} \cdot 7 + \xi_{12} \cdot 7^2 + \dots), \quad \xi_{10} \neq 0,$$

$$\xi_2 = \frac{1}{7^\nu} (\xi_{20} + \xi_{21} \cdot 7 + \xi_{22} \cdot 7^2 + \dots), \quad \xi_{20} \neq 0,$$

$\xi_{ik} \in \mathfrak{R}$ ,  $\mathfrak{R} = \{0, 1, \dots, 6\}$ ,  $\mu > 0$ ,  $\nu > 0$ . Without loss of generality suppose  $\mu \geq \nu$ .<sup>4)</sup> The condition (5) implies

$$(6) \quad 7 = \frac{1}{7^{2\mu}} (\xi_{10} + \dots)^2 + \frac{1}{7^{2\nu}} (\xi_{20} + \dots)^2,$$

i. e.

$$7^{2\mu+1} - (\xi_{10} + \dots)^2 - 7^{2(\mu-\nu)} \cdot (\xi_{20} + \dots)^2 = 0.$$

If  $\mu - \nu > 0$  there would be  $\xi_{10} \equiv 0 \pmod{7}$ , contrary to the assumption. If  $\mu - \nu = 0$  we get  $\xi_{10}^2 + \xi_{20}^2 \equiv 0 \pmod{7}$ , which can be satisfied only with  $\xi_{10} = \xi_{20} = 0$ , again contrary to the supposition.

Now, we show that there cannot exist integral elements

$$\xi_i = \xi_{i0} + \xi_{i1} \cdot 7 + \xi_{i2} \cdot 7^2 + \dots, \quad \xi_{ik} \in \mathfrak{R} \quad (i = 1, 2)$$

satisfying (5). Substituting in (5) we get  $\xi_{10}^2 + \xi_{20}^2 \equiv 0 \pmod{7}$ . This implies  $\xi_{10} = \xi_{20} = 0$ . But then (5) gives

$$7 = (7\xi_{11} + \dots)^2 + (7\xi_{21} + \dots)^2,$$

i. e.  $7 \equiv 0 \pmod{7^3}$ , what is impossible.

**Remark 2.** It follows from the proof of Theorem 2 that under the suppositions a)–c) every integral element  $\in K$  can be written in the form (2) with integral  $\xi_1, \dots, \xi_{\delta+1} \in K$ .

We show on an example that in the representation of an integral element  $a \in K$  by means of integral  $\xi_1, \dots, \xi_{\delta+1} \in K$  the condition  $(k, p^f - 1) \leq p - 1$  cannot be replaced by a less sharp condition.<sup>5)</sup>

Let  $K$  be the derived field of the Gaussian field  $R(i)$  complete under the  $p$ -adic valuation, where  $p = [7]$ . We have  $N(p) = 7^2$ . Choose  $k = 8$ ; then  $\delta = (8, 7^2 - 1) = 8 > 6$ . We can take  $\pi = 7$ . Every integral element  $\in K$  is of the form

$$\xi = \xi_0 + \xi_1 \cdot 7 + \xi_2 \cdot 7^2 + \dots \quad \xi_i \in \mathfrak{R},$$

<sup>4)</sup> If  $\mu > 0$  then we have also  $\nu > 0$ . For  $\mu > 0$ ,  $\nu \leq 0$  would imply that the right hand side of (6) is a non-integral element  $\in R_7$ , whereas the left hand side is clearly an integral element  $\in R_7$ .

<sup>5)</sup> It remains open whether the condition  $(k, p^f - 1) \leq p - 1$  can be replaced by a less sharp if we admit for  $\xi_1, \dots, \xi_{\delta+1}$  any numbers  $\in K$ .

where  $\mathfrak{R}$  denotes f. i. the numbers  $a + bi$  ( $a, b = 0, 1, \dots, 6$ ). We show that it is not possible to write  $i \in K$  as a sum of eighth powers of integral elements  $\in K$ . The relation

$$i = \sum_{k=1}^s (\xi_{0k} + \xi_{1k} \cdot 7 + \xi_{2k} \cdot 7^2 + \dots)^8, \xi_{ik} \in \mathfrak{R},$$

would imply

$$(7) \quad i \equiv \sum_{k=1}^s \xi_{0k}^8 \pmod{[7]}.$$

But for every number  $a + bi$  it holds

$$(a + bi)^8 = a^8 + 8a^7bi + \dots + 8ab^7i^7 + b^8 \equiv a^8 + b^8 + abi(a^6 - b^6) \pmod{[7]}.$$

If at least one of the numbers  $a, b$  is equal to zero we have  $ab \equiv 0 \pmod{[7]}$ .

If  $a \not\equiv 0, b \not\equiv 0$  we have  $a^6 - b^6 \equiv 1 - 1 = 0 \pmod{[7]}$ . In both cases we have therefore

$$\xi_{ik}^8 = (a_k + ib_k)^8 \equiv a_k^8 + b_k^8 \equiv a_k^2 + b_k^2 \pmod{[7]}.$$

The relation (7) implies

$$i \equiv \sum_{k=1}^s (a_k^2 + b_k^2) \pmod{[7]},$$

what is impossible, since a number of the form  $\frac{1}{7} \left[ i - \sum_{k=1}^s (a_k^2 + b_k^2) \right]$  is not an algebraic integer of the field  $R(i)$ .

**Remark 3.** Let  $K = R_p$  be the rational  $p$ -adic field. Suppose  $p > 2$ . If we apply the result of Theorem 2 and 2a to the case  $k = 2$  we get well-known results concerning quadratic forms. Let  $a_1, a_2, a_3$  be arbitrary integral elements  $\in R_p$ ,  $a_1 a_2 a_3 \not\equiv 0 \pmod{p}$ .<sup>6)</sup> Every number  $a \in R_p$  can be written in the form

$$a = a_1 \xi_1^2 + a_2 \xi_2^2 + a_3 \xi_3^2.$$

For every integral  $b \not\equiv 0 \pmod{p}$  and arbitrary integral  $a_1, a_2 \in R_p$  with  $a_1 a_2 \not\equiv 0 \pmod{p}$  it is even possible to write  $b = a_1 \xi_1^2 + a_2 \xi_2^2$ ,  $\xi_i \in R_p$ .

These and analogous results concerning quadratic forms are treated in detail in the book of B. W. JONES [5].

<sup>6)</sup> The condition  $a_1 a_2 a_3 \not\equiv 0 \pmod{p}$  cannot be replaced by the weaker condition  $a_1 a_2 a_3 \neq 0$ , since for instance the equation  $6 = x_1^2 + x_2^2 + 3x_3^2$  is not soluble in  $R_3$  with integral  $x_1, x_2, x_3 \in R_3$ .



## II.

In theorem 2 we supposed nothing about the characteristic of the field  $K$  (i. e. there was not necessary to distinguish between the cases  $A$  and  $B$ ). But it was of course essential that  $(k, p) = 1$ . The difficulties which made impossible to prove Theorem 1 in the case  $(k, p) > 1$  are clearly to see for instance in the equation (4) since this equation reduces in this case to a contradiction  $c \equiv 0 \pmod{p}$ .

In the following we shall give a full discussion of the case  $(k, p) \geq 1$ . Write  $k = k_0 p^t$ ,  $(k_0, p) = 1$ ,  $t \geq 0$ .

The case  $B$ , where the fields  $K$  and  $I/p$  have both characteristic  $p$ , can be settled at once.

Suppose  $t > 0$ . Let  $a_1, a_2, \dots, a_s$  be integral elements  $\in K$ . The equation

$$(8) \quad a = a_1 \xi_1^k + \dots + a_s \xi_s^k$$

need not have for any  $s > 0$  a solution with  $\xi_1, \xi_2, \dots, \xi_s \in K$ .<sup>7)</sup>

Every element  $a \in K$  can be written in the form of a formal power series in the indeterminate  $x$

$$a = a_{-r} x^{-r} + a_{-r+1} x^{-r+1} + \dots + a_0 + a_1 x + a_2 x^2 + \dots,$$

$a_i \in \mathfrak{R} = GF(p^f) \cong I[x]$ . The  $k$ -th power of  $a \in K$  is of the form

$$\begin{aligned} a^k &= (a_{-r} x^{-r} + \dots + a_0 + a_1 x + \dots)^{k_0 p^t} = \\ &= [a_{-r}^{p^t} x^{-r p^t} + a_{-r+1}^{p^t} x^{(-r+1)p^t} + \dots + a_0^{p^t} + a_1^{p^t} x^{p^t} + a_2^{p^t} x^{2p^t} + \dots]^{k_0}. \end{aligned}$$

We show easily that the element  $x$  cannot be written as a sum of  $k$ -th powers of elements  $\in K$ .<sup>8)</sup> For if it were

$$x = \sum_{i=1}^s \xi_i^k = \sum_{i=1}^s [x^{-r_i} (\xi'_{i0} + \xi'_{i1} x + \xi'_{i2} x^2 + \dots)]^k, \quad \xi_{i0} \in \mathfrak{R}$$

it would hold also

$$x = \sum_{i=1}^s [x^{-r_i p^t} (\xi'_{i0} + \xi'_{i1} x^{p^t} + \xi'_{i2} x^{2p^t} + \dots)]^{k_0},$$

where  $\xi'_{i0} = \xi_{i0}^{p^t}$ . Without loss of generality suppose  $r_1 \geq r_2 \geq \dots \geq r_s$ . We have

$$\begin{aligned} x^{r_1 k_0 p^t + 1} &= (\xi'_{10} + \xi'_{11} x^{p^t} + \dots)^{k_0} + x^{(r_1 - r_2) k_0 p^t} (\xi'_{11} + \xi'_{12} x^{p^t} + \dots)^{k_0} + \dots + \\ &+ x^{(r_1 - r_s) k_0 p^t} (\xi'_{1s} + \xi'_{2s} x^{p^t} + \dots)^{k_0}. \end{aligned}$$

<sup>7)</sup> Independently of the fact whether  $(k, p^f - 1) \leq p - 1$  holds or not.

<sup>8)</sup> I. e. we put in (8)  $a_1 = a_2 = \dots = a_s = 1$ .

Hence (since clearly  $\nu_1$  must be  $\geq 0$ )

$$(9) \quad x^{\nu_1 k_0 p^t + 1} \equiv \eta_0 + \eta_1 x^{p^t} + \eta_2 x^{2p^t} + \dots + \eta_{\nu_1 k_0} x^{\nu_1 k_0 p^t} \pmod{x^{(\nu_1 k_0 + 1)p^t}}$$

with some  $\eta_1, \eta_2, \dots, \eta_{\nu_1 k_0} \in \mathfrak{R}$ .

Since  $t \geq 1, p \geq 2$ , we have  $p^t \geq 2$ . On the right hand side of the equation (9) we have a polynomial in  $x^{p^t}$ . But the exponent  $\nu_1 k_0 p^t + 1$  on the left hand side of (9) is clearly not divisible by  $p^t$ . Such a relation is impossible and our assertion is proved.

### III.

In the following we shall restrict the considerations to the case of a derived field of an algebraic number field  $R(\mathfrak{P})$ , complete under a  $p$ -adic valuation. This field will be denoted by  $K = R(\mathfrak{P})_p$ . The symbol  $J[\mathfrak{P}]$  denotes the ring of algebraic integers of the field  $R(\mathfrak{P})$ .

We prove a series of lemmas with the final aim to prove a theorem analogous to Theorem 1 dealing with the finite ring  $J[\mathfrak{P}]/p^{t+1}$  instead of the finite field  $J[\mathfrak{P}]/p$ .

Lemmas 1—3 which are well-known in the theory of algebraic numbers are quoted without proofs. (They can be found for instance in O. ORE [8], pp. 51—61.)

Throughout all this section let further be  $N(p) = p^f, f \geq 1, p \geq 2$ .<sup>9)</sup> The polynomial

$$(10) \quad \varphi(x) = x^f + a_1 x^{f-1} + \dots + a_f$$

denotes an arbitrary (fixed chosen) polynomial of degree  $f$  with rational integral coefficients and leading coefficient 1 irreducible (mod  $p$ ).

**Lemma 1.** *The congruence*

$$(11) \quad \varphi(x) \equiv x^f + a_1 x^{f-1} + \dots + a_f \equiv 0 \pmod{p}$$

has just  $f \pmod{p}$  distinct solutions  $\in J[\mathfrak{P}]$ . If one of the solutions is the number  $\alpha \in J[\mathfrak{P}]$ , then the numbers  $\alpha^p, \alpha^{p^2}, \dots, \alpha^{p^{f-1}}$  are the remaining solutions of (11).

**Lemma 2.** *If  $\alpha \in J[\mathfrak{P}]$  is an arbitrary fixed chosen solution of (11), then the numbers*

$$(12) \quad C(\alpha) = c_0 + c_1 \alpha + \dots + c_{f-1} \alpha^{f-1}$$

( $c_i = 0, 1, \dots, p-1$ ) form a complete system of representants of the residue classes (mod  $p$ ).

<sup>9)</sup> The restriction  $p \geq 3$  will not be necessary until we treat Theorem 4.

Remark. If  $f=1$ , the number  $\alpha$  does not appear, of course, explicitly in (12).

Lemma 3. Let  $\pi$  be an algebraic number  $\in J[9]$  for which  $p \parallel \pi$  holds. Let  $u$  be an integer,  $u \geq 0$ . Then a complete set of representants of the residue classes  $(\text{mod } p^{u+1})$  is given by the following  $p^{f(u+1)} = N(p)^{u+1} \pmod{p^{u+1}}$  incongruent numbers:

$$(13) \quad C_0(\alpha) + C_1(\alpha) \cdot \pi + \dots + C_u(\alpha) \cdot \pi^u.$$

Here  $\alpha \in J[9]$  is defined as a solution of the congruence (11) and the set  $C_i(\alpha)$  has a meaning which is clear from the Lemma 2.

Remark: If  $p \parallel p$  we can choose  $\pi = p$ .

Till to the end of the paper we shall use the following notations. Let be  $k = k_0 p^t$ ,  $(k_0, p) = 1$ ,  $t \geq 0$ . Put  $\delta = (k_0, p^t - 1)$ . The symbol  $Z$  denotes the ring of residue classes  $(\text{mod } p^{t+1})$ . The symbol  $Z_0$  denotes the set of non-zero residue classes  $(\text{mod } p^{t+1})$ . The symbol  $Z^*$  denotes the group of residue classes  $(\text{mod } p^{t+1})$  which are relatively prime to  $p$ .<sup>10)</sup> Finally the symbol  $Z^{(k)}$  denotes the set of all non-zero residue classes  $(\text{mod } p^{t+1})$  which are  $k$ -th powers of residue classes  $(\text{mod } p^{t+1})$ .

The elements of the sets  $Z, Z_0, Z^*, Z^{(k)}$  are classes  $\text{mod } p^{t+1}$ . The class whose representant is the number  $n$  will be denoted by  $\bar{n}$ .

Lemma 4. Let be  $k > 1$ ,  $k = k_0 p^t$ ,  $(k_0, p) = 1$ ,  $p \parallel p$ ,  $p \parallel \pi$ . Denote  $\lambda = \binom{k}{l} \pi^l$ , where  $l, \varrho$  are integers  $\geq 1$ . Then,

- a) for  $p \geq 2$ ,  $1 \leq l \leq k$ , we have  $p^{t+1} \mid \lambda$ ;
- b) for  $p \geq 3$ ,  $2 \leq l \leq k$ , we have  $p^{t+2} \mid \lambda$ ;
- c) for  $p \geq 2$ ,  $\varrho > 1$ ,  $2 \leq l \leq k$ , we have  $p^{t+\varrho+1} \mid \lambda$ ;
- d) for  $p = 2$ ,  $l \geq 3$ , we have  $p^{t+2} \mid \lambda$ .

Proof. Let us put  $l = l_0 p^\tau$ ,  $(l_0, p) = 1$ , where  $1 \leq \tau \leq t$ . Then<sup>11)</sup>

$$\lambda = \binom{k}{l} \pi^l = \frac{k}{l} \binom{k-1}{l-1} \pi^{l-1} = \frac{k_0}{l_0} \binom{k-1}{l-1} p^{t-\tau} \pi^{l_0 p^\tau}.$$

Therefore  $\lambda$  is divisible by  $p^\sigma$ , where  $\sigma \geq m = t + l_0 \varrho p^\tau - \tau$ .

In the case a) :  $m \geq t + 2^\tau - \tau \geq t + 1$ .

In the case b) :  $m \geq t + l_0 p^\tau - \tau = t + l - \tau$ . If  $\tau = 0$ , we have  $m \geq t + l \geq t + 2$ . If  $\tau > 0$ , we have  $m \geq t + 3^\tau - \tau \geq t + 2$ .

The case c). If  $\tau = 0$ , we have  $m = t + l \varrho - \tau = t + l \varrho \geq t + 2 \varrho \geq t + \varrho + 1$ . If  $\tau \geq 1$ , we have  $m \geq t + 1 \cdot 2^\tau \cdot \varrho - \tau \geq t + 2 \varrho - 1 \geq t + \varrho + 1$ .

<sup>10)</sup> Let us recall that the group  $Z^*$  is — in general — not cyclic.

<sup>11)</sup> The symbol  $\binom{k-1}{0}$  denotes 1.

The case  $d)$ . If  $\tau=0$ , we have  $m=t+l\rho \geq t+3$ . If  $\tau > 0$  and it holds  $l=l_0 \cdot 2^\tau \geq 3$ , then we have  $\alpha)$  either  $\tau=1$ ,  $l_0 \geq 3$ ,  $\beta)$  or  $\tau \geq 2$ . In the case  $\alpha)$  we have  $m \geq t+l_0\rho^\tau - \tau = t+3 \cdot 2 - 1 = t+5$ . In the case  $\beta)$  we get  $m \geq t+l_0 2^2 - 2 \geq t+2$ .

This proves Lemma 4.

Lemma 5. Let  $\alpha, \beta$  be two numbers  $\in J[9]$ . Then  $\alpha \equiv \beta \pmod{p}$  implies  $\alpha^{p^t} \equiv \beta^{p^t} \pmod{p^{t+1}}$ .

Proof: Well-known.

Lemma 6. We find all elements of the set  $Z^{(k)}$  among the classes which are represented by the numbers

$$[c_0 + c_1\alpha + \dots + c_{f-1}\alpha^{f-1}]^k \pmod{p^{t+1}}$$

( $c_i = 0, 1, \dots, p-1$ ). Among these classes there exist precisely  $\frac{p^f-1}{\delta}$  non-zero distinct classes. Each of these non-zero classes  $\pmod{p^{t+1}}$  is at the same time a non-zero class  $\pmod{p}$ <sup>12)</sup>

Proof. With respect to (12) the representant of every class  $\bar{\omega} \in Z^{(k)}$  can be written in the form

$$\begin{aligned} \omega &\equiv [C_0(\alpha) + C_1(\alpha) \cdot \pi + \dots + C_t(\alpha) \cdot \pi^t]^k = \\ &\equiv [C_0(\alpha) + \pi \cdot D(\alpha)]^k, \end{aligned} \pmod{p^{t+1}},$$

where

$$D(\alpha) = C_1(\alpha) + C_2(\alpha) \cdot \pi + \dots + C_t(\alpha) \pi^{t-1}.$$

Hence it is

$$(14) \quad \omega \equiv C_0(\alpha)^k + \sum_{i=1}^k \binom{k}{i} \pi^i C_0(\alpha)^{k-i} D(\alpha)^i.$$

According to Lemma 4a every member of the second summand in (14) is  $\equiv 0 \pmod{p^{t+1}}$ , i. e.

$$\omega \equiv C_0(\alpha)^k = (c_0 + c_1\alpha + \dots + c_{f-1}\alpha^{f-1})^{k_0 p^t} \pmod{p^{t+1}}.$$

This proves the first assertion of Lemma 6.

We wish to establish now how many among the numbers

$$(15) \quad [c_0 + c_1\alpha + \dots + c_{f-1}\alpha^{f-1}]^k, \quad 0 \leq c_i \leq p-1$$

are distinct  $\pmod{p^{t+1}}$ .

<sup>12)</sup> This means: among the numbers which are not relatively prime to  $p$  only the number 0 is a  $k$ -th power  $\pmod{p^{t+1}}$ . This is in general not true for  $k$ -th power residues  $\pmod{p^{t+2}}$ . For instance: take in the field of rational numbers  $k=2$ ,  $p=2$ . Then the quadratic residues  $\pmod{8}$  are the numbers 0, 1, 4. Here 4 is divisible by  $p=2$ . Hence the squares  $\neq 0$  do not form a group.

Let us establish first how many of the numbers (15) are different (mod  $p$ ). We have clearly

$$\omega = [c_0 + c_1\alpha + \dots + c_{f-1}\alpha^{f-1}]^{k_0 p^t} \equiv [c_0 + c_1\alpha^{p^t} + c_2\alpha^{2p^t} + \dots + c_{f-1}\alpha^{(f-1)p^t}]^{k_0} \pmod{p}.$$

According to Lemma 1 the number  $\alpha^{p^t} = \beta$  is one of the solutions of the congruence (11). Write

$$(16) \quad \omega \equiv (c_0 + c_1\beta + c_2\beta^2 + \dots + c_{f-1}\beta^{f-1})^{k_0} \pmod{p}$$

The non-zero classes (mod  $p$ ) form a cyclic group of order  $p^f - 1$ . The classes which are  $k_0$ -th powers form a subgroup of index  $\delta = (k_0, p^f - 1)$ . Hence among the classes represented by the numbers (15) there exist just  $\frac{p^f - 1}{\delta}$  non-zero different classes (mod  $p$ ). Therefore there exist at least  $\frac{p^f - 1}{\delta}$  different classes (mod  $p^{t+1}$ ).

The symbol  $\omega(\omega', \omega'')$  denotes in the following numbers belonging to the set (15). We shall show that even (mod  $p^{t+1}$ ) there exist among the numbers (15) exactly  $\frac{p^f - 1}{\delta}$  different numbers. To this end it is sufficient to prove that  $\omega' \equiv \omega'' \pmod{p}$  implies  $\omega' \equiv \omega'' \pmod{p^{t+1}}$ .

Let be

$$(17) \quad \omega' = (c'_0 + c'_1\alpha + \dots + c'_{f-1}\alpha^{f-1})^k \equiv \omega'' = (c''_0 + c''_1\alpha + \dots + c''_{f-1}\alpha^{f-1})^k \pmod{p},$$

i. e.

$$(18) \quad \omega' \equiv (c'_0 + c'_1\beta + \dots + c'_{f-1}\beta^{f-1})^{k_0} \equiv \omega'' \equiv (c''_0 + c''_1\beta + \dots + c''_{f-1}\beta^{f-1})^{k_0} \pmod{p},$$

where  $\beta = \alpha^{p^t}$ .

According to FERMAT's theorem  $\alpha \equiv \alpha^{p^f} \equiv \alpha^{p^{2f}} \equiv \alpha^{p^{3f}} \equiv \dots \pmod{p}$ . Let  $r$  be an integer such that  $rf < t \leq (r+1)f$ . Raise (18) to the power  $r = p^{(r+1)f-t}$ . Since  $\beta^r \equiv \alpha^{rp^t} \equiv \alpha^{p^{(r+1)f}} \equiv \alpha \pmod{p}$  and  $c'_i \equiv c''_i \pmod{p}$  we get

$$(c'_0 + c'_1\alpha + \dots + c'_{f-1}\alpha^{f-1})^{k_0} \equiv (c''_0 + c''_1\alpha + \dots + c''_{f-1}\alpha^{f-1})^{k_0} \pmod{p}.$$

If we denote

$$\begin{aligned} \lambda' &= (c'_0 + c'_1\alpha + \dots + c'_{f-1}\alpha^{f-1})^{k_0}, \\ \lambda'' &= (c''_0 + c''_1\alpha + \dots + c''_{f-1}\alpha^{f-1})^{k_0}, \end{aligned}$$

we get

$$(19) \quad \lambda' \equiv \lambda'' \pmod{p}$$

According to Lemma 5 it follows from (19)

$$\lambda'^{p^t} \equiv \lambda''^{p^t} \pmod{p^{t+1}},$$

i. e.

$$(20) \quad \begin{aligned} (c'_0 + c'_1 \alpha + \dots + c'_{f-1} \alpha^{f-1})^k &\equiv (c'_0 + c'_1 \alpha + \dots + c'_{f-1} \alpha^{f-1})^k \pmod{p^{t+1}} \\ \omega' &\equiv \omega'' \pmod{p^{t+1}}. \end{aligned}$$

This proves Lemma 6.

The numbers (15) (with exception of zero) are not divisible by  $p$ . This remark enables to prove at once the following

Lemma 7. a) The set  $Z^{(k)}$  forms a group,  $Z^{(k)} \subset Z^{(*)}$ . b) For the index  $[Z^*: Z^{(k)}]$  we have  $[Z^*: Z^{(k)}] = p^{ft} \cdot \delta$ .

Proof. The statement a) is clear from the precedent. The order of the group  $Z^*$  is

$$\varphi(p^{t+1}) = N(p^{t+1}) \left( 1 - \frac{1}{N(p)} \right) = p^n (p^f - 1).$$

According to Lemma 6 the order of the group  $Z^{(k)}$  is  $\frac{p^f - 1}{\delta}$ . Hence

$$\text{index } [Z^*: Z^{(k)}] = p^{ft} (p^f - 1) : \frac{p^f - 1}{\delta} = p^{ft} \cdot \delta.$$

Lemma 8. Let  $\bar{n} \neq \bar{0}$  be an arbitrary element  $\in Z$ . Every complex  $\bar{n} Z^{(k)}$  contains precisely  $\frac{p^f - 1}{\delta}$  different elements.

Remark. The set  $Z$  (with respect to multiplication) is not a group, merely a semigroup. For  $\bar{n} \in Z^*$  the assertion of the Lemma is an elementary fact of the theory of groups (since  $Z^{(k)}$  is a subgroup of  $Z^*$ ). It is important that the lemma holds also for  $\bar{n} \in Z - Z^*$ .

Proof. Let  $\xi^k, \eta^k$  be two elements  $\in Z^{(k)}$ . It is sufficient to prove: if  $\bar{n}$  is a non-zero class  $(\text{mod } p^{t+1})$ , then

$$n \xi^k \equiv n \eta^k \pmod{p^{t+1}} \text{ implies } \xi^k \equiv \eta^k \pmod{p^{t+1}}.$$

Let be  $p^j \mid [n]$ ,  $0 \leq j < t+1$ . Then  $p^{t+1} \mid n(\xi^k - \eta^k)$  implies  $p^{t+1-j} \mid \xi^k - \eta^k$ , i. e.  $\xi^k \equiv \eta^k \pmod{p^{t+1-j}}$ . The more there is therefore

$$(21) \quad \xi^k \equiv \eta^k \pmod{p}.$$

In the same manner as we deduced (20) from (17) we can deduce from (21)  $\xi^k \equiv \eta^k \pmod{p^{t+1}}$ . This proves Lemma 8.

Lemma 9. Two complexes  $\bar{n}_1 Z^{(k)}, \bar{n}_2 Z^{(k)}, \bar{n}_1, \bar{n}_2 \in Z$  ( $\bar{n}_1, \bar{n}_2$  - non-zero classes) are either disjoint or identical.

Proof. Suppose that the intersection is non vacuous, i. e. there exist two elements  $\xi^k, \eta^k \in Z^{(k)}$  such that  $\bar{n}_1 \xi^k = \bar{n}_2 \eta^k$ , i. e.  $n_1 \xi^k \equiv n_2 \eta^k \pmod{p^{t+1}}$ . Since  $\xi^k \in Z^*$ , there exists an element  $\xi_1^k \in Z^*$  such that  $\xi^k \xi_1^k \equiv 1 \pmod{p^{t+1}}$ .

Hence we have  $n_1 \equiv n_2(\xi_1 \eta)^k \pmod{p^{t+1}}$ . Therefore  $\bar{n}_1 Z^{(k)} = \bar{n}_2(\eta \xi_1)^k Z^{(k)} = \bar{n}_2 Z^{(k)}$ , which proves the lemma.

A corollary of the lemmas proved above is the

**Lemma 10.** *The ring  $Z$  of residue classes  $\pmod{p^{t+1}}$  is a sum of  $\frac{p^{f(t+1)}-1}{p^f-1} \delta + 1$  disjoint complexes of the form  $\bar{n} Z^{(k)}$ . One of the complexes contains a single element 0, every of the remaining complexes contains exactly  $\frac{p^f-1}{\delta}$  elements.*

Therefore it is possible to write the ring  $Z$  (in a unique way) as a sum of disjoint summands

$$(22) \quad Z = \bar{0} + \bar{n}_1 Z^{(k)} + \bar{n}_2 Z^{(k)} + \dots + \bar{n}_s Z^{(k)},$$

where  $\bar{n}_1 = \bar{1}$ ,  $\bar{n}_i \in Z$ ,  $s = \frac{p^{f(t+1)}-1}{p^f-1} \delta$ .

In lemmas 11 and 12 we shall prove the possibility to choose the number  $\alpha \in J[9]$  in a special way which turns out to be essential for the proof of Theorem 3.

**Lemma 11.** *Let be  $f \geq 1$ ,  $\delta = (k, p^f - 1) \leq p - 1$ . Then there exists a polynomial  $\varphi(x)$  irreducible  $\pmod{p}$  of degree  $f$  which divides  $\pmod{p}$  the polynomial*

$$(23) \quad x^{\frac{p^f-1}{\delta}} - 1.$$

**Proof.**<sup>13)</sup> Let be  $f > 1$ . Every irreducible polynomial  $\pmod{p}$  of degree  $f$  divides

$$(24) \quad x^{p^f-1} - 1.$$

The polynomial (23) divides the polynomial (24). We shall show that the sum of degrees of all irreducible polynomials dividing (23) with a degree  $< f$  is less than the number  $\frac{p^f-1}{\delta}$ . This will prove Lemma 11. For, first (23) has not  $\pmod{p}$  factors of degree  $> f$ , secondly the sum of degrees of all  $\pmod{p}$  irreducible polynomials dividing (23) is exactly  $\frac{p^f-1}{\delta}$ . Hence (23) has at least one factor of degree  $f$ .

The polynomial (23) has only irreducible factors of a degree  $d$ , where  $d|f$ . Such an irreducible factor of degree  $d$  divides  $x^{p^d}-x$ . Therefore the sum

<sup>13)</sup> See [9], p. 125. For  $f=1$  the lemma is trivial.

of degrees of all irreducible factors of degree  $d$  is at most  $p^d$ . The sum of degrees of all irreducible factors of (23) of degree  $< f$  is less than the number

$$\sigma = \sum_{d, f, d < f} p^d.$$

Hence

$$\sigma \leq \sum_{d=1}^{f-1} p^d < 1 + \sum_{d=1}^{f-1} p^d = \frac{p^f - 1}{p - 1} \leq \frac{p^f - 1}{\delta},$$

i. e.  $\sigma < \frac{p^f - 1}{\delta}$ . This proves our Lemma.

Lemma 12. *The number  $\alpha$  in Lemma 2 (or 3) can be chosen in such a manner that*

$$\alpha \equiv \beta^k \pmod{p^{t+1}},$$

where  $\beta \in J[\mathfrak{P}]$ .

Proof. Let us choose for the polynomial (11) such an irreducible polynomial  $\varphi(x)$  of degree  $f$  which divides (23). According to Lemma 11 this is possible. The root  $\alpha_1 \in J[\mathfrak{P}]$  of the congruence

$$(25) \quad \varphi(x) \equiv x^f + a_1 x^{f-1} + \dots + a_f \equiv 0 \pmod{p}$$

satisfies the more the congruence

$$x \frac{p^f - 1}{\delta} - 1 \equiv 0 \pmod{p}.$$

We have therefore

$$(26) \quad \alpha_1 \frac{N(p)-1}{\delta} \equiv 1 \pmod{p}, \quad \delta = (k_0, N(p)-1).$$

It is well-known that (26) is satisfied by those and only those numbers  $\alpha_1 \in J[\mathfrak{P}]$  which are  $k_0$ -th powers  $\pmod{p}$ , i. e. for which

$$(27) \quad \alpha_1 \equiv \beta^{k_0} \pmod{p}$$

holds. Hence every solution of (25) is a  $k_0$ -th power  $\pmod{p}$ . According to Lemma 5 it follows from (27)

$$\alpha_1^{p^t} \equiv \beta^{k_0 p^t} = \beta^k \pmod{p^{t+1}}.$$

The number  $\alpha_1^{p^t}$  is simultaneously with  $\alpha_1$  a solution of (25). Denoting  $\alpha = \alpha_1^{p^t}$  we get

$$\alpha \equiv \beta^k \pmod{p^{t+1}},$$

which proves our Lemma.

Lemmas 1–12 hold independently whether  $p \nmid p$  or only  $p | p$ . From now on suppose explicitly  $p \nmid p$ . Then in Lemma 3 we can write  $p$  instead of  $\pi$ . Hence the residue classes belonging to  $Z$  are just represented by the numbers

$$(28) \quad C_0(\alpha) + C_1(\alpha)p + \dots + C_t(\alpha)p^t.$$



By rearranging this expression we see that every number from (28) can be written in the form

$$d_0 + d_1\alpha + d_2\alpha^2 + \dots + d_{f-1}\alpha^{f-1},$$

where  $d_i$  are rational integers. This implies the validity of the following Lemma

**Lemma 13.** *Let be  $p \nmid p$ . Then every element  $\in Z$  can be represented by just one of the numbers*

$$(29) \quad \xi = d_0 + d_1\alpha + \dots + d_{f-1}\alpha^{f-1},$$

where  $d_i$  runs through all numbers  $0, 1, 2, \dots, p^{t+1}-1$ .

From now (till to the end of this paper) we shall choose the representants of the classes  $\in Z$  exclusively from the set (29). Thus the representant is uniquely determined.

We introduce two notions. The numbers  $(d_0, d_1, \dots, d_{f-1})$  will be called the *coordinates* of the element  $\xi$ , the number of coordinates different from zero will be called the *length* of the element  $\xi$ . If  $\xi \neq 0$  the length  $l$  is an integer,  $1 \leq l \leq f$ .

Choose the number  $\alpha$  in Lemma 13 so that  $\alpha \equiv \beta^k \pmod{p^{t+1}}$ . Then it holds

**Lemma 14.** *Let  $\bar{\xi}\bar{n} \cdot Z^{(k)}$  be a non-zero complex,  $\xi$  of the length  $l$ . Then there exists a number  $\eta$  of the same length  $l$  having the first coordinate  $d_0 \neq 0$  and satisfying the relation*

$$\bar{\xi}\bar{n} \cdot Z^{(k)} = \bar{\eta}\bar{n} \cdot Z^{(k)}.$$

**Proof.** a) If  $f=1$  the assertion is trivial, since the representant of every non-zero complex has the first (and only first) coordinate  $\neq 0$ .

b) Suppose  $f > 1$  and  $\xi = d_0\alpha^0 + d_{p+1}\alpha^{p+1} + \dots + d_{f-1}\alpha^{f-1}$  ( $d_0 \neq 0$ ,  $p \geq 1$ ). Since  $\alpha$  and therefore  $\alpha^0, \alpha^{-e}$  are  $k$ -th powers  $\pmod{p^{t+1}}$  we have  $\bar{\alpha}, \bar{\alpha}^0, \bar{\alpha}^{-e} \in Z^{(k)}$ . Since  $Z^{(k)}$  is a group, we get

$$\bar{\xi}\bar{n}Z^{(k)} = \bar{\xi}\bar{n}\bar{\alpha}^{-e} \cdot Z^{(k)} = \bar{\xi}\bar{\alpha}^{-e} \cdot \bar{n}Z^{(k)} = \bar{\eta}\bar{n}Z^{(k)},$$

where  $\eta = d_0 + d_{p+1}\alpha + \dots + d_{f-1}\alpha^{f-e-1}$ . This proves the Lemma.

Now we are able to prove the main theorem of this section:

**Theorem 3.** *Let  $R(\mathfrak{P})$  be an algebraic number field of finite degree over the field of rational numbers. Let  $J[\mathfrak{P}]$  be the integral domain of algebraic integers  $\in R(\mathfrak{P})$ ,  $\mathfrak{p}$  a prime ideal of  $J[\mathfrak{P}]$ ,  $N(\mathfrak{p}) = p^f$ ,  $f \geq 1$ ,  $p \nmid p$ . Let be further  $\delta = (k, p^f - 1) \leq p - 1$ ,  $s = \frac{p^{f(t+1)} - 1}{p^f - 1} \delta$ . Suppose  $a_1, a_2, \dots, a_s$  are  $s$  elements  $\in J[\mathfrak{P}]$  with  $a_1 \cdot a_2 \cdot \dots \cdot a_s \not\equiv 0 \pmod{\mathfrak{p}}$ . Then the congruence*

$$b \equiv a_1 \xi_1^k + a_2 \xi_2^k + \dots + a_s \xi_s^k \pmod{\mathfrak{p}^{t+1}}$$

has for every  $b \in J[\mathfrak{P}]$  a solution with  $\xi_i \in J[\mathfrak{P}]$ .

Remark: In other words: under the above suppositions the equation

$$\bar{b} = \bar{a}_1 \bar{\xi}_1^k + \dots + \bar{a}_s \bar{\xi}_s^k$$

is solvable in  $Z$  for every  $\bar{b} \in Z$ .<sup>14)</sup>

Proof. For  $\bar{b} = \bar{0}$  it is sufficient to choose  $\bar{\xi}_i = 0$ . Therefore we shall suppose  $\bar{b} \in Z_0$ .

1. We choose the arrangement of the complexes in the relation

$$(30) \quad Z_0 = \bar{n}_1 Z^{(k)} + \bar{n}_2 Z^{(k)} + \dots + \bar{n}_s Z^{(k)}$$

in a special way.

First take the complex  $\bar{a}_1 Z^{(k)}$ . Then we take the complex  $\bar{c}_2 \bar{a}_2 Z^{(k)}$ , where  $c_2$  is chosen as follows. Among all numbers  $c_2$  from (29) satisfying the condition

$$(31) \quad \bar{c}_2 \bar{a}_2 Z^{(k)} \subset Z_0 - \bar{a}_1 Z^{(k)}$$

we take those with the smallest length  $l_2 \geq 1$ . From them we choose the element  $c_2 = c_{02} + c_{12}\alpha + \dots + c_{f-1,2}\alpha^{f-1}$  such that  $c_{02} \neq 0$  and  $c_{02}$  has the least possible positive value  $\geq 1$ . According to Lemma 14 this is always possible and by Lemma 13 we have  $1 \leq c_{02} \leq p^{t+1} - 1$ .

We show now that (if  $s > 1$ ) an element satisfying (31) really exists. According to the assumption  $a_2 \not\equiv 0 \pmod{p}$ , hence  $\bar{a}_2 \in Z^*$ .<sup>15)</sup> Let us multiply (30) by  $\bar{a}_2 \neq \bar{0}$ . We get

$$\bar{a}_2 Z_0 = \bar{n}_1 \bar{a}_2 Z^{(k)} + \bar{n}_2 \bar{a}_2 Z^{(k)} + \dots + \bar{n}_s \bar{a}_2 Z^{(k)}.$$

Since  $\bar{a}_2 \not\equiv 0 \pmod{p}$  it holds  $\bar{a}_2 Z_0 = Z_0$ .<sup>17)</sup> Hence we get

$$(32) \quad Z_0 = \bar{n}_1 \bar{a}_2 Z^{(k)} + \bar{n}_2 \bar{a}_2 Z^{(k)} + \dots + \bar{n}_s \bar{a}_2 Z^{(k)}.$$

This is a decomposition of  $Z_0$  modulo the complex  $\bar{a}_2 Z^{(k)}$ . Every summand is one of the complexes modulo  $Z^{(k)}$ . It follows from the equality of both

<sup>14)</sup> Theorem 1 is a special case of Theorem 3 (for  $t=0$ ). In this case the assumption  $p \nmid p$  can be replaced by the weaker one  $p \mid p$  since in this case Lemma 13 holds without the assumption  $p \nmid p$ .

At the same time Theorem 3 is a wide generalization of an important theorem used in the Waring problem. (See LANDAU [6], p. 289, Satz 300 or VINOGRADOV [11], p. 273.) This theorem is obtained by putting in Theorem 3  $f=1$ ,  $a_1=a_2=\dots=a_s=1$ . (The condition  $\delta \leq p-1$  is then automatically satisfied, what enables much simpler proofs.)

<sup>15)</sup> According to our agreement we choose always the representant  $n$  of the class  $\bar{n}$  from the set (29).

<sup>16)</sup> The complex  $\bar{a}_2 Z^{(k)}$  is one of the cosets of the decomposition of the group  $Z^*$  modulo the subgroup  $Z^{(k)}$ .

<sup>17)</sup> This follows from the fact that  $\bar{\xi} \neq \bar{\eta}$  implies  $\bar{a}_2 \bar{\xi} \neq \bar{a}_2 \bar{\eta}$ . For,  $\bar{a}_2 \bar{\xi} = \bar{a}_2 \bar{\eta}$ , i. e.,  $a_2 \bar{\xi} = a_2 \bar{\eta} \pmod{p^{t+1}}$ , would imply  $p^{t+1} \mid a_2 (\bar{\xi} - \bar{\eta})$ . Since  $p \nmid a_2$  we would have  $p^{t+1} \mid \bar{\xi} - \bar{\eta}$ , i. e.  $\bar{\xi} \equiv \bar{\eta} \pmod{p^{t+1}}$ ,  $\bar{\xi} = \bar{\eta}$ , contrary to the assumption.

sides in (32) that all complexes on the right hand side are disjoint. Hence if  $\bar{c}_2$  runs through all elements  $\in Z_0$ ,  $\bar{c}_2 \bar{a}_2 Z^{(k)}$  gives all complexes modulo  $Z^{(k)}$  and (if  $s > 1$ ) an element  $\bar{c}_2$  satisfying (31) really exists.

Take now the complex  $\bar{c}_3 \bar{a}_3 Z^{(k)}$ , where  $\bar{c}_3$  is chosen as follows. We find all numbers  $c_3$  satisfying

$$(33) \quad \bar{c}_3 \bar{a}_3 Z^{(k)} \subset Z_0 - \bar{a}_1 Z^{(k)} - \bar{c}_2 \bar{a}_2 Z^{(k)}$$

and having the smallest length  $l_3 > 0$ . If  $s > 2$  such a  $c_3$  exists. (This can be proved analogously as above.) From all numbers  $c_3$  of the length  $l_3$  we choose an element

$$c_3 = c_{03} + c_{13} \alpha + \dots + c_{f-1,3} \alpha^{f-1}$$

with  $c_{03} \neq 0$ , where  $c_{03}$  has the least possible positive value  $\geq 1$ .

We repeat this process just  $s$  times. The last element  $c_s = c_{0s} + c_{1s} \alpha + \dots + c_{f-1,s} \alpha^{f-1}$  is chosen as follows. We find first all elements  $c_s$  of the least possible length having the property

$$\bar{c}_s \bar{a}_s Z^{(k)} \subset Z_0 - \bar{a}_1 Z^{(k)} - \bar{c}_2 \bar{a}_2 Z^{(k)} - \dots - \bar{c}_{s-1} \bar{a}_{s-1} Z^{(k)}.$$

Among them we choose an element  $c_s$  whose first coordinate  $c_{0s} \neq 0$  has the least possible positive value  $\geq 1$ .

The rearrangement of the decomposition (30) into complexes has the final form:

$$(34) \quad Z_0 = \bar{c}_1 \bar{a}_1 Z^{(k)} + \bar{c}_2 \bar{a}_2 Z^{(k)} + \dots + \bar{c}_s \bar{a}_s Z^{(k)}, c_1 = 1.$$

2. To prove our theorem it is sufficient to show that every element of the set

$$\bar{a}_1 \bar{c}_1, \bar{a}_2 \bar{c}_2, \dots, \bar{a}_s \bar{c}_s, c_1 = 1,$$

can be written in the form

$$\bar{a}_1 \bar{\xi}_1^k + \bar{a}_2 \bar{\xi}_2^k + \dots + \bar{a}_s \bar{\xi}_s^k {}^{18)}$$

We shall prove more. We shall show that every  $\bar{a}_i \bar{c}_i$  ( $1 \leq i \leq s$ ) can be written already in the form

$$\bar{a}_i \bar{c}_i = \bar{a}_i \bar{\xi}_1^k + \dots + \bar{a}_i \bar{\xi}_i^k, \quad \bar{\xi}_1, \dots, \bar{\xi}_i \in Z.$$

This follows by induction. The statement is true for  $i=1$  since  $\bar{a}_1 \bar{c}_1 = \bar{a}_1 = \bar{a}_1 \cdot 1^k$ . Suppose that our statement is true for all  $\bar{a}_t \bar{c}_t$ ,  $1 \leq t < i$ . We prove it for  $\bar{a}_i \bar{c}_i$ . Let the element

$$c_i = c_{0i} + c_{1i} \alpha + \dots + c_{f-1,i} \alpha^{f-1}$$

<sup>18)</sup> Since every element  $\in Z_0$  arises from one of the  $\bar{a}_i \bar{c}_i$  ( $1 \leq i \leq s$ ) by multiplication by a suitable  $k$ -th power.

be of the length  $l_i$ . Let us construct the complex  $(\overline{c_i-1})\bar{a}_i Z^{(k)}$  and let us consider the number

$$c_i-1 = (c_{0i}-1) + c_{1i}\alpha + \dots + c_{f-1,i}\alpha^{f-1}.$$

If  $c_{0i}=1$ ,  $c_i-1$  has a length less than  $c_i$ . If  $c_{0i} \neq 1$ ,  $c_i-1$  has the same length  $l_i$  but its first coordinate is less than the first coordinate of the number  $c_i$ . In both cases (with respect to the definition of the number  $c_i$ ) the complex  $(\overline{c_i-1})\bar{a}_i Z^{(k)}$  satisfies

$$(\overline{c_i-1})\bar{a}_i Z^{(k)} \subset \bar{c}_1 \bar{a}_1 Z^{(k)} + \dots + \bar{c}_{i-1} \bar{a}_{i-1} Z^{(k)}.$$

This means: there exists an index  $t \leq i-1$  and such a number  $\xi_0$  that  $(\overline{c_i-1})\bar{a}_i = \bar{c}_t \bar{a}_t \bar{\xi}_0^k$ . By the inductive supposition we can write

$$\bar{c}_t \bar{a}_t = \bar{a}_1 \bar{\xi}_1^k + \bar{a}_2 \bar{\xi}_2^k + \dots + \bar{a}_{t-1} \bar{\xi}_{t-1}^k.$$

with suitable elements  $\bar{\xi}_1, \bar{\xi}_2, \dots, \bar{\xi}_{t-1}$ . Hence

$$(\overline{c_i-1})\bar{a}_i = \bar{a}_1 \bar{\xi}_1 \bar{\xi}_0^k + \bar{a}_2 \bar{\xi}_2 \bar{\xi}_0^k + \dots + \bar{a}_{t-1} \bar{\xi}_{t-1} \bar{\xi}_0^k,$$

i. e.

$$\bar{c}_i \bar{a}_i = \bar{a}_1 \cdot \bar{\xi}_1 \bar{\xi}_0^k + \bar{a}_2 \bar{\xi}_2 \bar{\xi}_0^k + \dots + \bar{a}_{t-1} \bar{\xi}_{t-1} \bar{\xi}_0^k + \bar{a}_i \cdot 1^k.$$

Theorem 3 is completely proved.

#### IV.

Theorem 3 enables us to prove the following

**Theorem 4.** *Let  $K$  be the derived field of an algebraic number field  $R(\theta)$  complete under a discrete valuation corresponding to a prime ideal  $\mathfrak{p}$  of  $J[\theta]$ . Let be further*

- $N(\mathfrak{p}) = p^f$ ,  $f \geq 1$ ,  $p > 2$ ;
- $\mathfrak{p} \parallel p$ ;
- $k > 1$ ,  $k = k_0 p^t$ ,  $(k_0, p) = 1$ ,  $t \geq 0$ ,  $(k, p^f - 1) \leq p - 1$ ;
- $s = \frac{p^{f(t+1)} - 1}{p^f - 1} (k, p^f - 1)$ ,  $a_1, a_2, \dots, a_{s+1}$   $s+1$  integral elements  $\in K$

satisfying  $a_1 \cdot a_2 \cdot \dots \cdot a_{s+1} \not\equiv 0 \pmod{\mathfrak{p}}$ .

Then every number  $b \in K$  can be written in the form

$$b = a_1 \xi_1^k + a_2 \xi_2^k + \dots + a_{s+1} \xi_{s+1}^k$$

with suitable  $\xi_1, \xi_2, \dots, \xi_{s+1} \in K$ .

Proof. The proof will be — to a some extent — a repetition of the proof of Theorem 2.<sup>19)</sup> Without loss of generality suppose  $b$  integral.

We write again

$$f(\mathbf{x}) = a_1 x_1^k + \dots + a_s x_s^k - b$$

and use the notations from the proof of Theorem 2.

1. Suppose first  $b \not\equiv 0 \pmod{p}$ . According to Theorem 3 there exists a primitive vector  $\mathbf{v}_1 = [\xi_{11}, \xi_{21}, \dots, \xi_{s1}]$  such that  $f(\mathbf{v}_1) \equiv 0 \pmod{p^{t+1}}$ . Let be  $f(\mathbf{v}_1) = f(\xi_{11}, \dots, \xi_{s1}) = c \cdot \pi^m$ ,  $m \geq t+1$ ,  $\pi^m \nmid f(\mathbf{v}_1)$ ,  $c \in I$ . Find a vector  $[\eta_1, \eta_2, \dots, \eta_s]$  the components of which satisfy the relation

$$(35) \quad c + \sum_{i=1}^s k_0 \left( \frac{p}{\pi} \right)^t a_i \xi_{i1}^{k-1} \eta_i \equiv 0 \pmod{p}.^{19a)}$$

This is possible since  $c \not\equiv 0 \pmod{p}$  and at least one of the summands is  $\not\equiv 0 \pmod{p}$ . Put  $\xi_{i2} = \xi_{i1} + \eta_i \cdot \pi^{m-t}$  and consider the primitive vector  $\mathbf{v}_2 = [\xi_{12}, \xi_{22}, \dots, \xi_{s2}]$ . We have

$$(36) \quad \begin{aligned} f(\mathbf{v}_2) &= \sum_{i=1}^s a_i (\xi_{i1} + \eta_i \pi^{m-t})^k - b = \\ &= f(\mathbf{v}_1) + \sum_{i=1}^s a_i k_0 p^t \xi_{i1}^{k-1} \eta_i \pi^{m-t} + \sum_{i=1}^s a_i \binom{k}{2} \xi_{i1}^{k-2} \eta_i^2 \pi^{2(m-t)} + \dots = \\ &= \pi^m \left[ c + \sum_{i=1}^s a_i k_0 \left( \frac{p}{\pi} \right)^t \xi_{i1}^{k-1} \eta_i \right] + \sum_{i=1}^s a_i \xi_{i1}^{k-2} \eta_i^2 \binom{k}{2} \pi^{2(m-t)} + \dots \end{aligned}$$

The first bracket on the right is divisible by  $p$  in a power equal or greater than  $m+1 \geq t+2$ . According to the Lemma 4b for  $p \geq 3, l \geq 2, m-t \geq 1$  the expression  $\binom{k}{l} \pi^{l(m-t)}$  is divisible by  $p^{t+2}$ . Hence the second term (and the more each of the remaining terms) is divisible at least by  $p^{t+2}$ . Therefore for  $p \geq 3$  it holds certainly

$$(37) \quad f(\mathbf{v}_2) \equiv 0 \pmod{p^{t+2}}.$$

Let us remark further: if moreover  $m-t > 1$  then (according to lemma

4c) for  $p=2 (l \geq 2)$  the expression  $\binom{k}{l} \pi^{l(m-t)}$  is divisible at least by

<sup>19)</sup> Theorem 2 is not merely a special case of Theorem 4. It should be noted explicitly that

a) Theorem 2 holds also in the case  $p^i \mid p$ , where  $i > 1$ .

b) Theorem 2 holds also for  $p \mid 2$ .

c) Theorem 2 holds also in the case B.

This is the true reason for which we have found it convenient to prove Theorem 2 separately.

<sup>19a)</sup> Note that since  $p \nmid p, p \nmid \pi$  the quotient  $\frac{p}{\pi}$  is a unit in  $K$ , hence  $\frac{p}{\pi} \in I$ .

$p^{t+m-t+1} = p^{m+1}$ . Since  $m+1 \geq t+2$  the relation (37) holds in this case even for  $p=2$ .

We repeat the process used to the construction of the vector  $\mathbf{v}_2$ .

Let be  $f(\mathbf{v}_2) = c' \cdot \pi^{m'}$ ,  $m' \geq t+2$ ,  $\pi^{m'} \| f(\mathbf{v}_2)$ ,  $c \in I$ . Find a vector  $[\zeta_1, \zeta_2, \dots, \zeta_s]$  the components of which satisfy the congruence

$$c' + \sum_{i=1}^s k_0 \left( \frac{p}{\pi} \right)^t a_i \xi_{i2}^{k-1} \zeta_i \equiv 0 \pmod{p}.$$

Put  $\xi_{i3} = \xi_{i2} + \zeta_i \pi^{m'-t}$  and consider the primitive vector  $\mathbf{v}_3 = [\xi_{13}, \xi_{23}, \dots, \xi_{s3}]$ . We have

$$\begin{aligned} f(\mathbf{v}_3) &= \sum_{i=1}^s a_i (\xi_{i2} + \zeta_i \pi^{m'-t})^k - b = \\ &= f(\mathbf{v}_2) + \sum_{i=1}^s a_i k_0 p^t \xi_{i2}^{k-1} \zeta_i \pi^{m'-t} + \sum_{i=1}^s a_i \binom{k}{2} \xi_{i2}^{k-2} \zeta_i^2 \pi^{2(m'-t)} + \dots = \\ &= \pi^{m'} \left[ c' + \sum_{i=1}^s a_i k_0 \left( \frac{p}{\pi} \right)^t \xi_{i2}^{k-1} \zeta_i \right] + \sum_{i=1}^s a_i \xi_{i2}^{k-2} \zeta_i^2 \binom{k}{2} \pi^{2(m'-t)} + \dots \end{aligned}$$

The first bracket on the right is divisible by  $p$  in a power at least equal to  $m'+1 \geq t+3$ . Since  $m'-t \geq 2$  we see (according to Lemma 4c) that for  $p \geq 2$ ,  $l \geq 2$  the expression  $\binom{k}{2} \pi^{2(m'-t)}$  is divisible by  $p$  in a power at least equal to  $t + (m'-t) + 1 = m'+1 \geq t+3$ . Hence

$$f(\mathbf{v}_3) \equiv 0 \pmod{p^{t+3}}.$$

Repeating this process we get a sequence of primitive vectors  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  satisfying  $f(\mathbf{v}_i) \equiv 0 \pmod{p^{t+i}}$ .

Since the set of integers  $\in I$  which are  $\not\equiv 0 \pmod{p}$  is compact we can choose a subsequence which converges coordinatewise to a primitive vector  $\mathbf{v}$ . With respect to the continuity of the function  $f(\mathbf{x})$  this vector satisfies  $f(\mathbf{v}) = 0$ .

This proves Theorem 4 in the case  $b \not\equiv 0 \pmod{p}$  with the supplementary result that we can choose  $\xi_{s+1} = 0$ .

2) Suppose  $b \equiv 0 \pmod{p}$ . There would be possible to use the above argument if there existed a primitive vector  $[\xi_{11}, \xi_{21}, \dots, \xi_{s1}]$  satisfying

$$(38) \quad 0 \equiv a_1 \xi_{11}^k + \dots + a_s \xi_{s1}^k \pmod{p^{t+1}}.$$

We have seen in the remark to Theorem 2 that — in general — this is not the case.

Consider therefore the element  $c = b - a_{s+1}$ . Then  $c \not\equiv 0 \pmod{p}$ . According to the proof sub 1 there exist  $s$  numbers  $\xi_1, \dots, \xi_s \in K$  with

$$b - a_{s+1} = a_1 \xi_1^k + \dots + a_s \xi_s^k.$$

Hence

$$b = a_1 \xi_1^k + \dots + a_s \xi_s^k + a_{s+1} \cdot 1^k.$$

Theorem 4 is completely proved.

It follows from the proof just given:

**Theorem 4a.** *Let the suppositions of Theorem 4 be satisfied with the modification that there are given only  $s$  integral elements  $a_1, \dots, a_s \in K$  with  $a_1 a_2 \dots a_s \not\equiv 0 \pmod{p}$ . Then, if (38) has at least one non-zero solution, then every element  $b \in K$  can be written already in the form  $b = a_1 \xi_1^k + \dots + a_s \xi_s^k$ ,  $\xi_i \in K$ .*

**Remark 1.** Theorem 4 has been proved under the supposition  $p \parallel p$ . We show on a simple example that this supposition cannot be omitted. Let  $K = R(\sqrt{-5})_p$ , where  $p = [\sqrt{-5}]$ , i. e.  $[p] = [5] = p^2$ ,  $N(p) = 5$ ,  $f = 1$ . Choose  $a_1 = \dots = a_s = 1$  and  $k = 5$ . There exist numbers  $b \in R(\sqrt{-5})$  for which

$$(39) \quad b \equiv \xi_1^5 + \dots + \xi_s^5 \pmod{p^2}$$

has no solution with integral  $\xi_1, \dots, \xi_s$  for any  $s \geq 1$ . Such a number is for instance  $b = \sqrt{-5}$ . The complete set of representantes of the classes  $(\text{mod } [\sqrt{-5}])$  is  $\{0, 1, 2, 3, 4\}$ . Representantes of the residue-classes  $(\text{mod } p^2)$  are the numbers  $c_i + c_1 \sqrt{-5}$  ( $c_i, c_1 = 0, \dots, 4$ ). The elements of the set  $Z^{(5)}$  are  $Z^{(5)} = \{\bar{1}, \bar{2}, \bar{3}, \bar{4}\}$  (fifth power residues  $(\text{mod } p^2)$ ). If (39) were solvable for

$b = \sqrt{-5}$  it would be possible to write  $\sqrt{-5} \equiv \sum_{i=1}^s d_i \pmod{[5]}$ ,  $0 \leq d_i < 5$ ,

i. e.  $\sqrt{-5} - d \equiv 0 \pmod{[5]}$ , where  $0 \leq d < 5$ . This is impossible since  $\frac{\sqrt{-5} - d}{5}$  is not an algebraic integer  $\in R(\sqrt{-5})$ .

Let us look for where the proof of Theorem 4 fails in the case  $p \nmid p$ ,  $i \geq 2$ . Lemma 13 does not hold.<sup>20)</sup> But it can be proved (see for instance O. Ore [8], p. 58) that it is always possible to find a number  $\gamma$  satisfying (11) so that every residue  $\omega \pmod{p^i}$  (for  $a \geq e$  where  $p^e \parallel p$ ) can be written in the form  $\omega = D(\gamma)$ , where  $D(\gamma)$  is a polynomial in  $\gamma$  with rational integral coefficients. (Briefly:  $\gamma$  can be eliminated from Lemma 3.)<sup>21)</sup> Hence there would be possible to introduce the notions of the length and coordinates. But this  $\gamma$  (and this is essential!) cannot be written — in general — in the form  $\gamma \equiv \beta^k \pmod{p^{t+1}}$ . Therefore we cannot say that every complex  $\bar{n}Z^{(k)}$  con-

<sup>20)</sup> F. i. in our example it is not true that every residue  $\omega \pmod{p^2}$  is of the form  $\omega \equiv d_0 \pmod{p^2}$  where  $d_0 = 0, 1, \dots, 24$ . (Only 5 of these residues are different.)

<sup>21)</sup> In our example it is sufficient to choose  $\gamma = \sqrt{-5}$ . Then the complete system of residues  $(\text{mod } p^2)$  can be written in the form  $c_0 + c_1 \gamma$  ( $c_0, c_1 = 0, 1, \dots, 4$ ).

tains an element  $c_0 + c_1\alpha + c_2\alpha^2 + \dots$  with  $c_0 \neq 0$ , i. e. Lemma 14 does not hold.<sup>22)</sup> The induction used in the proof of Theorem 3 cannot be applied.

Remark 2. Theorem 4 was proved under the supposition  $p > 2$ . Consider now a prime ideal  $\mathfrak{p}$  with  $N(\mathfrak{p}) = 2^f, f \geq 1$ . Theorem 3 holds but is not sufficient to the proof of a theorem analogous to Theorem 4.

For, if  $\mathfrak{p}|2$  we have  $\mathfrak{p}^{t+1} \parallel \frac{k(k-1)}{2} \pi^2$  so that for  $m-t=1$  the equation (36) implies instead of (37) only

$$f(\mathbf{v}_2) \equiv \sum_{i=1}^s a_i \xi_{i1}^{k-2} \eta_i^2 \binom{k}{2} \pi^2 \pmod{\mathfrak{p}^{t+2}}$$

and the right hand side is — in general —  $\not\equiv 0 \pmod{\mathfrak{p}^{t+2}}$ .

This can really take place as an example in the rational 2-adic field shows. Choose  $f(\mathbf{x}) = x_1^2 + x_2^2 + x_3^2 - 7$ . Here  $\mathfrak{p} = p = 2, k = 2$ , i. e.  $t = 1, s = 3$ . The vector  $\mathbf{v}_1 = [1, 1, 1]$  satisfies (in accordance with Theorem 3)  $f(\mathbf{v}_1) \equiv 0 \pmod{\mathfrak{p}^{t+1} = 2^3}$ . We have  $f(\mathbf{v}_1) = -1 \cdot 2^3$ . The equation (35) is of the form

$$(40) \quad -1 + \eta_1 + \eta_2 + \eta_3 \equiv 0 \pmod{2}.$$

This equation is soluble. But constructing the vector

$$\mathbf{v}_2 = [1 + 2\eta_1, 1 + 2\eta_2, 1 + 2\eta_3]$$

we get

$$f(\mathbf{v}_2) = 4(-1 + \eta_1 + \eta_2 + \eta_3) + 4(\eta_1^2 + \eta_2^2 + \eta_3^2),$$

i. e.  $f(\mathbf{v}_2) \equiv 4(\eta_1^2 + \eta_2^2 + \eta_3^2) \pmod{2^3}$  and for  $\eta_i$  satisfying (40) we have clearly  $\eta_1^2 + \eta_2^2 + \eta_3^2 \equiv 1 \not\equiv 0 \pmod{2}$ , whence  $f(\mathbf{v}_2) \not\equiv 0 \pmod{2^3}$ .<sup>23)</sup>

This shows that Theorem 4 cannot hold in general for  $p = 2$ , at least not in the case if the number  $s$  keeps the meaning introduced above.

But one proves immediately the validity of the following assertion.

If for some  $s$  and  $a_1 a_2 \dots a_s \not\equiv 0 \pmod{\mathfrak{p}}$  the congruence

$$b \equiv a_1 \xi_{10}^k + \dots + a_s \xi_{s0}^k \pmod{\mathfrak{p}^{t+2}}$$

has a non-zero solution  $(\xi_{10}, \dots, \xi_{s0})$ , then

$$b = a_1 \xi_1^k + \dots + a_s \xi_s^k$$

is soluble in  $K$  even in the case  $\mathfrak{p}|2$ .

<sup>22)</sup> In the case  $R(\sqrt{-5})$ ,  $\mathfrak{p} = [\sqrt{-5}]$ ,  $k = 5$  there exist seven complexes:  $\{0\}$ ,  $Z^{(5)} = \{1, 2, 3, 4\}$ ,  $\{1 + \sqrt{-5}, 2 + 2\sqrt{-5}, 3 + 3\sqrt{-5}, 4 + 4\sqrt{-5}\}$ ,  $\{1 + 2\sqrt{-5}, 2 + 4\sqrt{-5}, 3 + \sqrt{-5}, 4 + 3\sqrt{-5}\}$ ,  $\{2 + \sqrt{-5}, 4 + 2\sqrt{-5}, 1 + 3\sqrt{-5}, 3 + 4\sqrt{-5}\}$ ,  $\{1 + 4\sqrt{-5}, 2 + 3\sqrt{-5}, 3 + 2\sqrt{-5}, 4 + \sqrt{-5}\}$ ,  $\{\sqrt{-5}, 2\sqrt{-5}, 3\sqrt{-5}, 4\sqrt{-5}\}$ .

In the last complex there does not exist an element with the first coordinate  $\neq 0$ .

<sup>23)</sup> It is clear, of course, in advance that  $\xi_1^2 + \xi_2^2 + \xi_3^2 \equiv 7 \pmod{8}$  is not soluble with integral  $\xi_1, \xi_2, \xi_3$ .



The proof follows from the fact that for  $q > 1$  Lemma 4 c) holds also in the case  $p=2$ . In the step from the congruence  $(\bmod p^{t+2})$  to the congruence  $(\bmod p^{t+3})$  we get always (i. e. also for  $p|2$ ) a linear congruence which is soluble.

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